

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Haruaki WATANABE, et al.

Application No.:

Filed:

For: METHOD OF MANAGING
GENERATION OF BACKUP DATA
AND STORAGE CONTROL
DEVICE USED THEREFOR

Examiner:

Art Unit:

INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §1.97 and §1.98

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO/SB/08A are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that no fee is required for submission of this statement.

However, if a fee is required, the Commissioner is authorized to deduct such fee from Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

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PATENT

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Robert C. Colwell".

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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

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of

Complete if Known

Application Number

Filing Date

First Named Inventor

Watanabe, Haruaki, et. al.

Art Unit

Examiner Name

Attorney Docket Number

16869K-088500US

U.S. PATENT DOCUMENTS

Examiner	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
	1	US-				
	2	US-				
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	19	US-				
	20	US-				

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)				
	21	JP	2002-099418		04-05-2002	Sanyo Electric Co Ltd		<input checked="" type="checkbox"/>
	22	JP	2001-159993		06-12-2001	Hitachi Ltd		<input checked="" type="checkbox"/>
	23	JP	2001-051806		02-23-2001	Fujitsu Ltd		<input checked="" type="checkbox"/>
	24	JP	2000-089917		03-31-2000	Hitachi Ltd		<input checked="" type="checkbox"/>
	25	JP	09-265419		10-07-1997	Mitsubishi Electric Corp		<input checked="" type="checkbox"/>
	26	JP	04-305742		10-28-1992	Nippon Telegr & Teleph		<input checked="" type="checkbox"/>
	27	JP	11-134234		05-21-1999	Reliatec Ltd		<input checked="" type="checkbox"/>
	28							<input type="checkbox"/>

Examiner
SignatureDate
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Kind Codes of U.S. Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR

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